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Investor Disclosures: <https://bit.ly/KF-Disclosures>

Client Name: _____
Contact Name: _____
Contact Phone: _____
Contact Email: _____

Client Data

Name: _____ DOB: _____
Spouse: _____ DOB: _____
Child: _____ DOB: _____
Child: _____ DOB: _____
Child: _____ DOB: _____
Child: _____ DOB: _____
Child: _____ DOB: _____
Address: _____
City: _____ State: _____ Zip: _____
Home Phone: _____ Cell Phone: _____
Office Phone: _____ Other Phone: _____
Email Address: _____

Client Income

	Employer	Net or Gross Pay
Client	_____	_____
	_____	_____
Spouse:	_____	_____
	_____	_____
Other Income	_____	_____
	_____	_____

Retirement Income Goal

Retirement Ages Client: _____ Spouse: _____

Desired Net Annual or Net Monthly Income Amount: \$ _____

Other Savings Goals: Are you interested in

College Planning? Yes _____ No _____

Other Savings Goals? _____

Financial Assets

Qualified Assets (401k, IRA, Etc.)

Please attach statements (preferred) or list holdings

<u>Source</u>	<u>Amount</u>
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

Non-Qualified Assets (Mutual Funds, Stocks, Etc.)

Please attach statements (preferred) or list holdings

<u>Source</u>	<u>Amount</u>
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

Do you have an Emergency Fund? Yes _____ No _____

Current Balance: _____

Where Held? _____

Future Savings:

<u>Source</u>	<u>Annual Amount</u>
_____ Your Annual Contribution to Employer Plan	\$ _____
_____ Your Company's Annual Contribution	\$ _____
_____ Spouse's Annual Contribution to Employer Plan	\$ _____
_____ Spouse's Company Annual Contribution	\$ _____
_____	\$ _____

Financial Assets (continued)

Non-Investment Income Sources

Source	Annual Amount	Start/End
Social Security	\$ _____	____ / ____
Spouse's Social Security	\$ _____	____ / ____
Pension	\$ _____	____ / ____
_____	\$ _____	____ / ____
_____	\$ _____	____ / ____

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Hard Assets

	Current Value	Amount Mortgaged	Payment Amount	Interest Rate
Residence:	\$ _____	\$ _____	\$ _____	_____
Other Real Estate	\$ _____	\$ _____	\$ _____	_____
Other Real Estate	\$ _____	\$ _____	\$ _____	_____
Miscellaneous:	\$ _____	\$ _____	\$ _____	_____

Insurance

Would you like for CCG to perform an insurance audit for you? Yes _____ No _____

Life Insurance Policies:

Type	Face Amount	Owner	Beneficiary	Term	Date Purchased
_____	\$ _____	_____	_____	_____	_____
_____	\$ _____	_____	_____	_____	_____
_____	\$ _____	_____	_____	_____	_____
_____	\$ _____	_____	_____	_____	_____
_____	\$ _____	_____	_____	_____	_____

Other Insurance:

	Type	Client	Spouse
Health	_____	___ Yes ___ No	___ Yes ___ No
Disability	_____	___ Yes ___ No	___ Yes ___ No

Short Term Credit

Account Name/Description	Balance	Payment	Interest Rate
_____	\$ _____	_____	_____ %
_____	\$ _____	_____	_____ %
_____	\$ _____	_____	_____ %
_____	\$ _____	_____	_____ %
_____	\$ _____	_____	_____ %
_____	\$ _____	_____	_____ %

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Estate Planning or Legal Docs

Do you have a will? ___ Yes ___ No If yes, when was it drafted? _____

Do you have a financial power of attorney in place? ___ Yes ___ No

Do you have a living will in place? ___ Yes ___ No

Do you have any living trusts? ___ Yes ___ No

Risk Tolerance Questionnaire

Answer each of the six risk tolerance questions below by choosing the appropriate box.

1. How important is capital preservation?

1 Not at All 2 3 Moderately Important 4 5 6 Very Important 7 8 9

2. How important is growth?

1 Not at All 2 3 Moderately Important 4 5 6 Very Important 7 8 9

3. How important is low volatility?

1 Not at All 2 3 Moderately Important 4 5 6 Very Important 7 8 9

4. How important is inflation protection?

1 Not at All 2 3 Moderately Important 4 5 6 Very Important 7 8 9

5. How important is current cash flow?

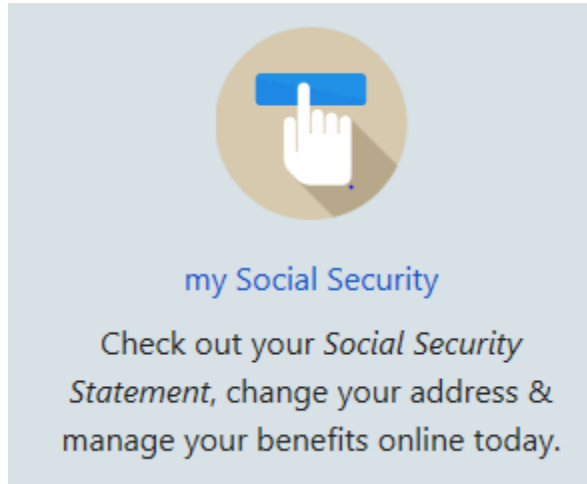
1 Not at All 2 3 Moderately Important 4 5 6 Very Important 7 8 9

6. How much risk are you willing to take to achieve a higher return?

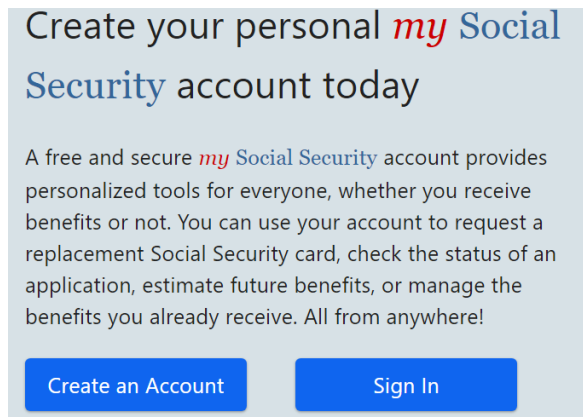
1 Not at All 2 3 Moderately Important 4 5 6 Very Important 7 8 9

Helpful Tips to Obtain Your Social Security Statement Online:

1. Go to www.ssa.gov
2. Click on “my Social Security in the middle left of the screen



3. Create an Account or Sign In



4. Follow the prompts (Please note that when prompted to add extra security, doing so will add 10 minutes to the statement retrieval process)
5. Be prepared to answer security questions about your financial history, loans, mortgages, etc.
6. Once completely set up, you will see a screen that says “Welcome [Your Name]”. At the bottom of the box will be a link “Print/Save Your Full Statement”, click and you are done!

I hope you find this helpful. Please remember to send a copy to our office via email or fax (205) 871-5904

If you have any questions or need assistance, please contact Courtney Meadows at (205) 871-5900 or receptionist@chappellebenefits.com